

# Attendance

- Midterm 2 on Tuesday (NO ACE SECTION)
- Covers material from lectures 6-16 and problem sets 3-5 and autograded parts of 6
- ACE Midterm resources:
  - Review Session: Saturday  
1pm-2:45pm, location TBA (likely LATHROP 298)
    - 60-90 min concept review (recorded)
    - 15-45 min practice questions
  - Printable practice exam (combination of practice resources)
- Resources: [Academic Coaching](#), [CTL Tutoring](#)

<https://forms.gle/iMXPHXs6xW4qn5Ht8>



# Strings and Languages

- An **alphabet** is a non-empty set of letters/characters/symbols
  - Typical symbol:  $\Sigma$
- A **string** is a finite sequence of characters
  - Typical symbol:  $w$
  - $\epsilon$  is the **empty string**:  $|\epsilon| = 0$
- A **language** is a set of strings
  - Languages are defined over alphabets, meaning the strings in the language are made of symbols from the alphabet
  - $\Sigma^*$  is **the set of all strings** made from symbols in  $\Sigma$
  - Typical symbol:  $L$

*Languages represent problems*

# Finite Automata

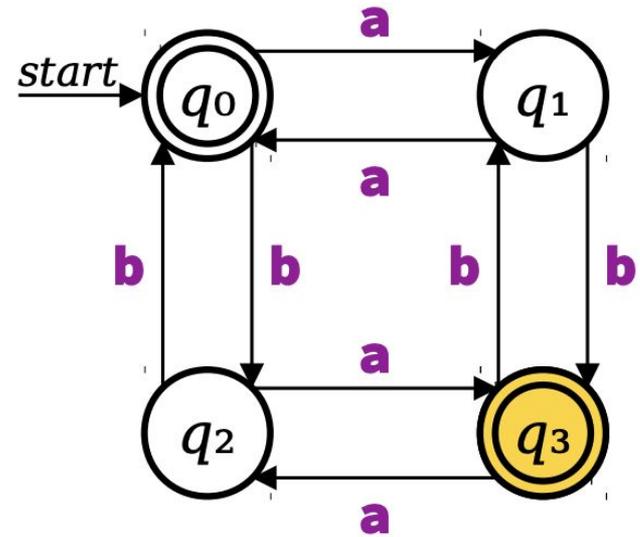
An **automata** is a way we can represent computers in proofs + logic statements

Made of **states**, **transitions**

Has a **start state**

Some states are **accepting states** - program will accept or reject the input

**Finite Automata** - has limited memory and produces a yes/no answer



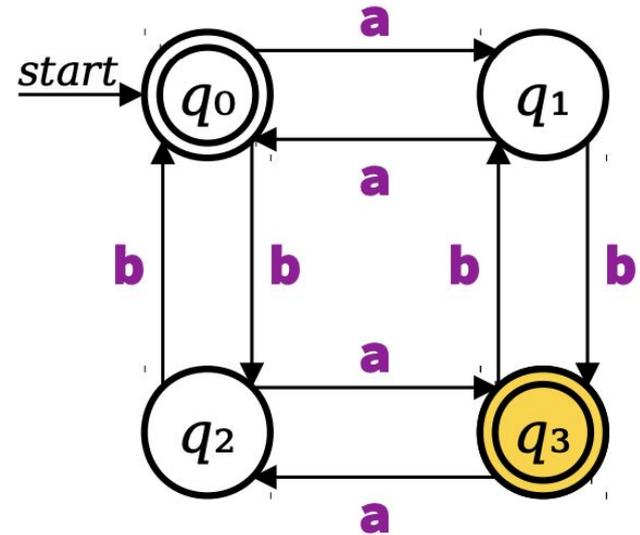
# Deterministic Finite Automata (DFA)

For each state, there is **exactly 1** transition for each character option.

There is **one** start state, and  $\geq 0$  accepting states

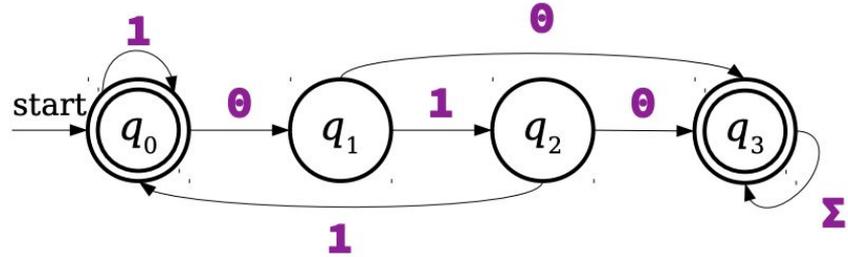
We will never be **unsure** of where to go - it is

**DETERMINISTIC**



# DFAs can also be represented by tables

## Tabular DFAs



	0	1
* $q_0$	$q_1$	$q_0$
$q_1$	$q_3$	$q_2$
$q_2$	$q_3$	$q_0$
* $q_3$	$q_3$	$q_3$

These stars indicate accepting states.

# Dealing with strings

Alphabet Let  $\Sigma = \{\mathbf{a}, \mathbf{b}\}$ .

The empty string  $\epsilon$ .

Languages We say that  $L$  is a *language over  $\Sigma$*  if it is a set of strings over  $\Sigma$ .

(“a language **made of** the alphabet”, ex. English made of the Latin alphabet)

The set of all strings composed from letters in  $\Sigma$  is denoted  $\Sigma^*$ .

(all words you could make out of the Latin alphabet, no matter the language)

Formally, we say that  $L$  is a language over  $\Sigma$  if  $L \subseteq \Sigma^*$ .

# Language of Automata

In this way, we can define languages *by* the automata that accepts it

Regular Languages are  
recognized by DFAs

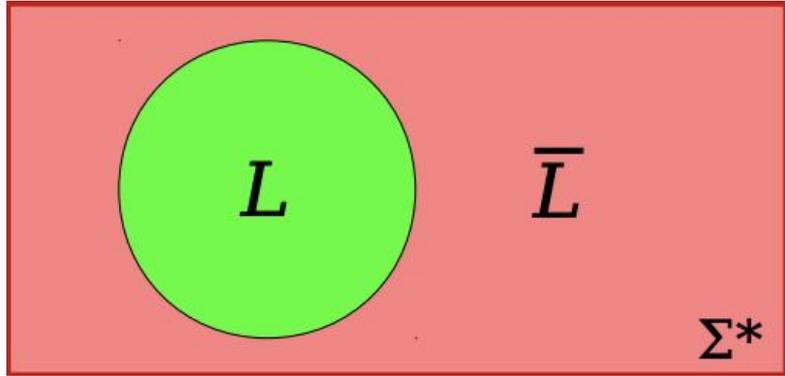
The **language of  $A$** , denoted  $\mathcal{L}(A)$ , is the set of strings over  $\Sigma$  that  $A$  accepts:

$$\mathcal{L}(A) = \{ w \in \Sigma^* \mid A \text{ accepts } w \}$$

A language  $L$  is called a **regular language** if there exists a DFA  $D$  such that  $\mathcal{L}(D) = L$ .

If  $L$  is a language and  $\mathcal{L}(D) = L$ , we say that  $D$  **recognizes** the language  $L$ .

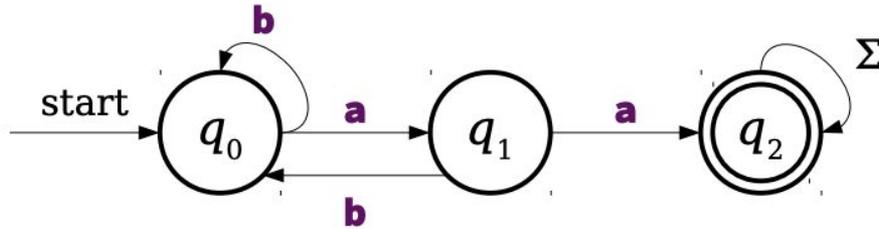
# Language Complements



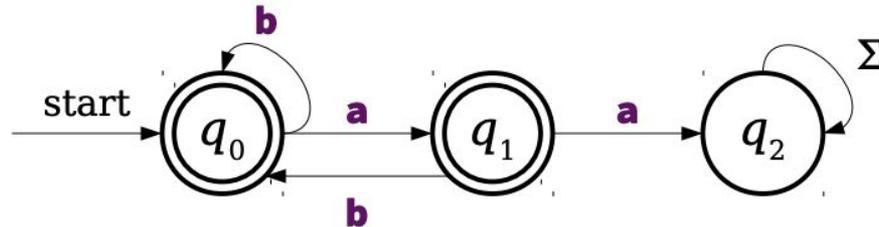
$$\bar{L} = \Sigma^* - L$$

# Complements - Swap the accepting states!

$$L = \{ w \in \{a, b\}^* \mid w \text{ contains } \mathbf{aa} \text{ as a substring} \}$$



$$\bar{L} = \{ w \in \{a, b\}^* \mid w \text{ **does not** contain } \mathbf{aa} \text{ as a substring} \}$$



- **Theorem:** If  $L$  is a regular language, then  $\bar{L}$  is also a regular language.

Because we were able to make a DFA for  $\bar{L}$

If there exists a DFA that **recognizes** the language complement, it is **regular**

- As a result, we say that the regular languages are **closed under complementation**.

We will get to many more **closure properties** later on

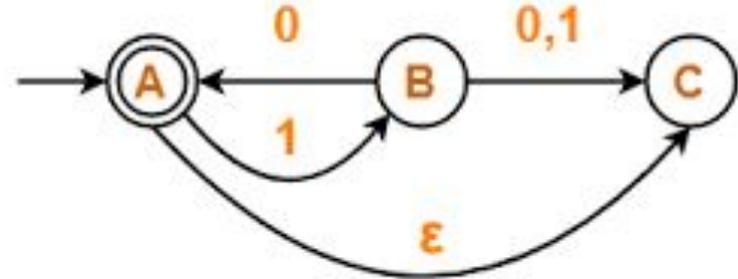
# Nondeterministic Finite Automata (NFA)

- All about CHOICES - each transition can bring you to one, or many states
- Acceptance: NFA will accept **if there exists** a path that puts you in an accepting state
- The **epsilon transition**:

NFAs have a special type of transition called the  **$\epsilon$ -transition**.

An NFA may follow **any number of  $\epsilon$ -transitions** at **any time** without consuming any input.

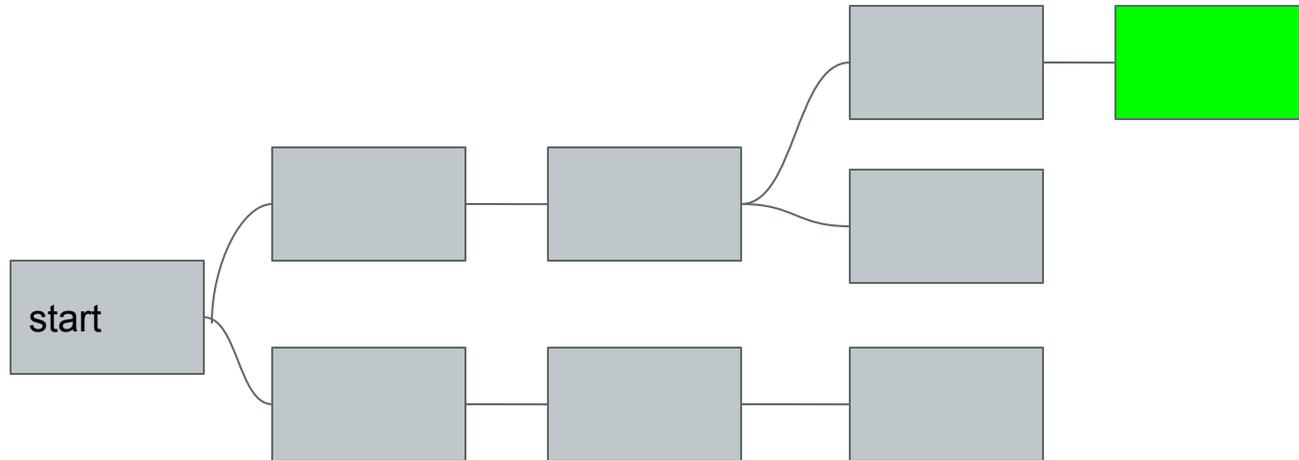
- The **sigma transition**: any char in alphabet



# NFA's Cool Capabilities

**Perfect Positive Guessing** - the machine will pick the right path if there is one

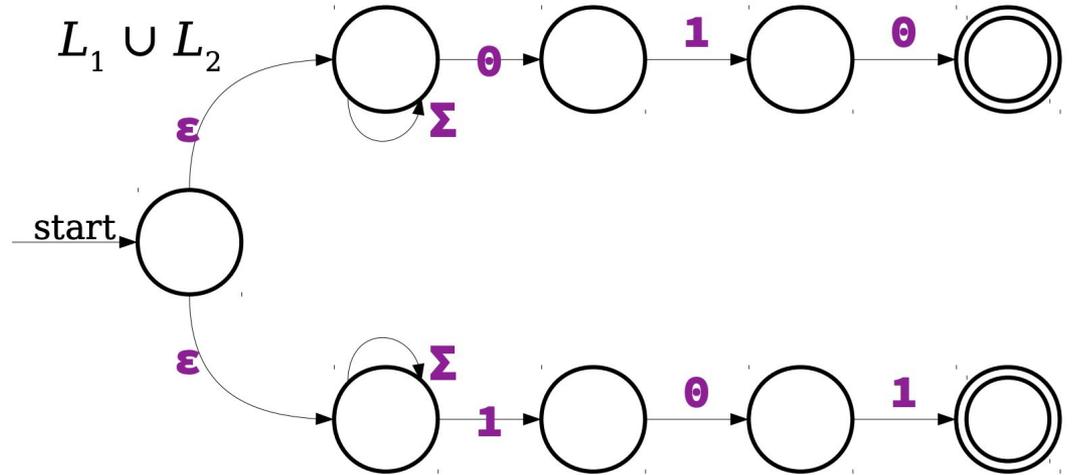
**Parallelism** - an NFA is sorta a DFA trying all options at once



# Building an NFA - Template by doing unions

Epsilon transitions as  
“bridges” to the different  
sub-parts of the language

$$L = \{ w \in \{0, 1\}^* \mid w \text{ ends in } 010 \text{ or } 101 \}$$



# Languages and Automata

All languages that can be recognized by a DFA can be recognized by an NFA

Why? Because a DFA is an NFA

All languages that can be recognized by an NFA can also be recognized by a DFA

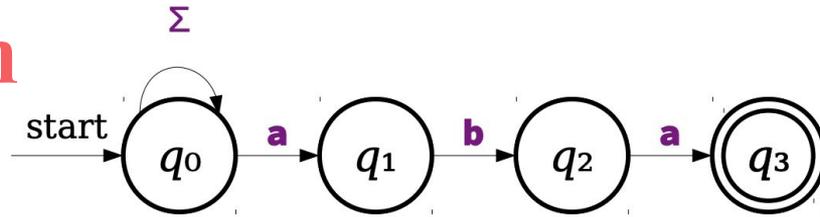
Why? **The subset construction** allows us to make DFAs from NFAs

# Subset Construction

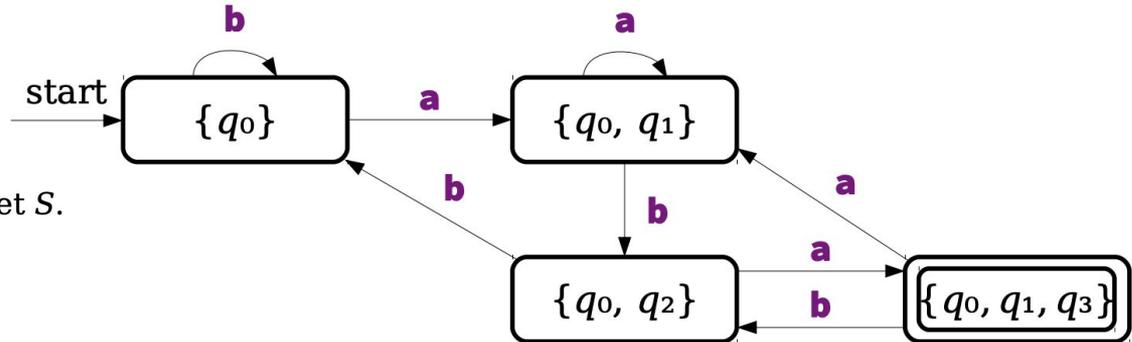
To convert NFA to DFA, make a table of all the simultaneous states you can occupy, and the options from each set of states

At most, the DFA will have  $2^{|S|}$  states for an NFA with  $S$  states

- **Useful fact:**  $|\emptyset(S)| = 2^{|S|}$  for any finite set  $S$ .

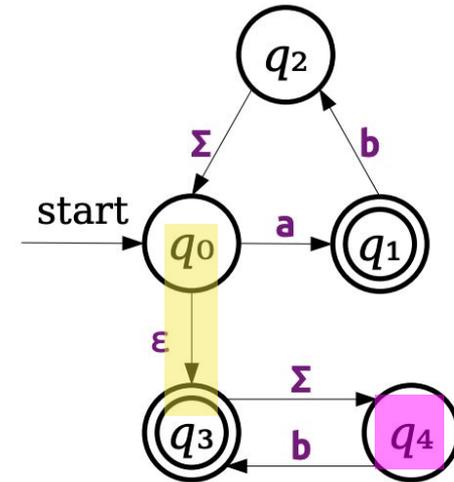


	$a$	$b$
$\{q_0\}$	$\{q_0, q_1\}$	$\{q_0\}$
$\{q_0, q_1\}$	$\{q_0, q_1\}$	$\{q_0, q_2\}$
$\{q_0, q_2\}$	$\{q_0, q_1, q_3\}$	$\{q_0\}$
$*\{q_0, q_1, q_3\}$	$\{q_0, q_1\}$	$\{q_0, q_2\}$



# The Trickier example from the “Guide to Subset Construction”

To note: Epsilon transitions and “dying off”



	a	b
*{q <sub>0</sub> , q <sub>3</sub> }	{q <sub>1</sub> , q <sub>4</sub> }	{q <sub>4</sub> }
*{q <sub>1</sub> , q <sub>4</sub> }	∅	{q <sub>2</sub> , q <sub>3</sub> }
{q <sub>4</sub> }	∅	{q <sub>3</sub> }
*{q <sub>2</sub> , q <sub>3</sub> }	{q <sub>0</sub> , q <sub>3</sub> , q <sub>4</sub> }	{q <sub>0</sub> , q <sub>3</sub> , q <sub>4</sub> }
*{q <sub>3</sub> }	{q <sub>4</sub> }	{q <sub>4</sub> }
*{q <sub>0</sub> , q <sub>3</sub> , q <sub>4</sub> }	{q <sub>1</sub> , q <sub>4</sub> }	{q <sub>3</sub> , q <sub>4</sub> }
*{q <sub>3</sub> , q <sub>4</sub> }	{q <sub>4</sub> }	{q <sub>3</sub> , q <sub>4</sub> }
∅	∅	∅

# Regular languages & closure properties

**Regular languages:** languages that we can build a DFA or NFA for

- Why do DFAs and NFAs have equivalent “computing power”?
  - Every DFA is an NFA
  - NFAs can be converted into DFAs via the subset construction
- Regular languages are **closed** under set operations
  - In other words, doing the operation produces another regular language

(What do nonregular languages look like? Stay tuned!)

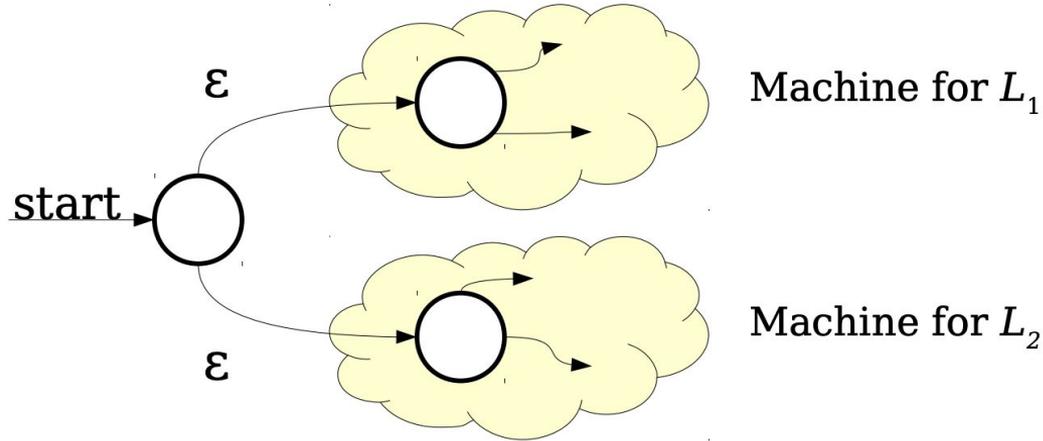
# Closure Properties

When combinations of regular languages **stay** regular languages

# Union

Proof by constructing the NFA for the new language

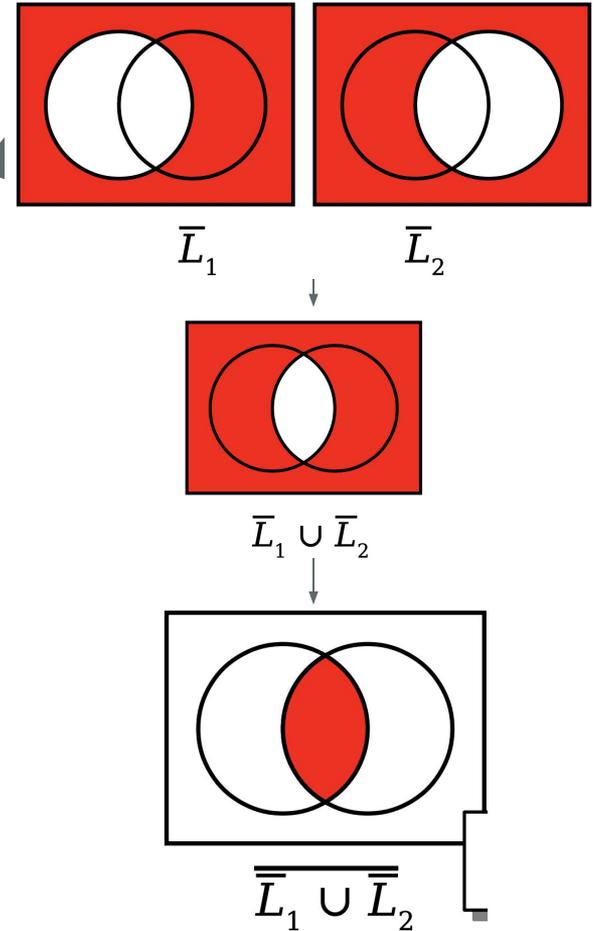
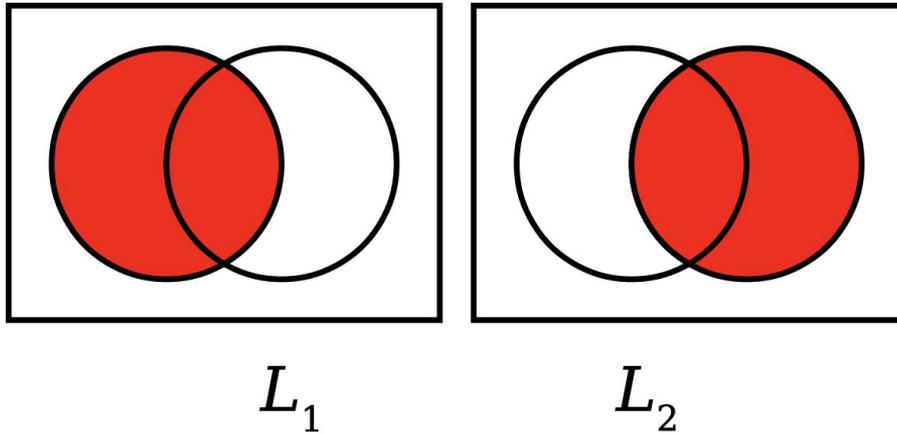
If  $L_1$  and  $L_2$  are regular languages, is  $L_1 \cup L_2$ ?



# Intersection

Proof by using the complement + union rules

Question: If  $L_1$  and  $L_2$  are regular, is  $L_1 \cap L_2$  regular as well?



# Language concatenation

- Intuition:  $L^n$  contains all strings made of  $n$  strings from  $L$  stuck together
- Formal definition (use this when writing proofs!)

$$L_1L_2 = \{w \mid \exists x \in L_1. \exists y \in L_2. w = xy\}$$

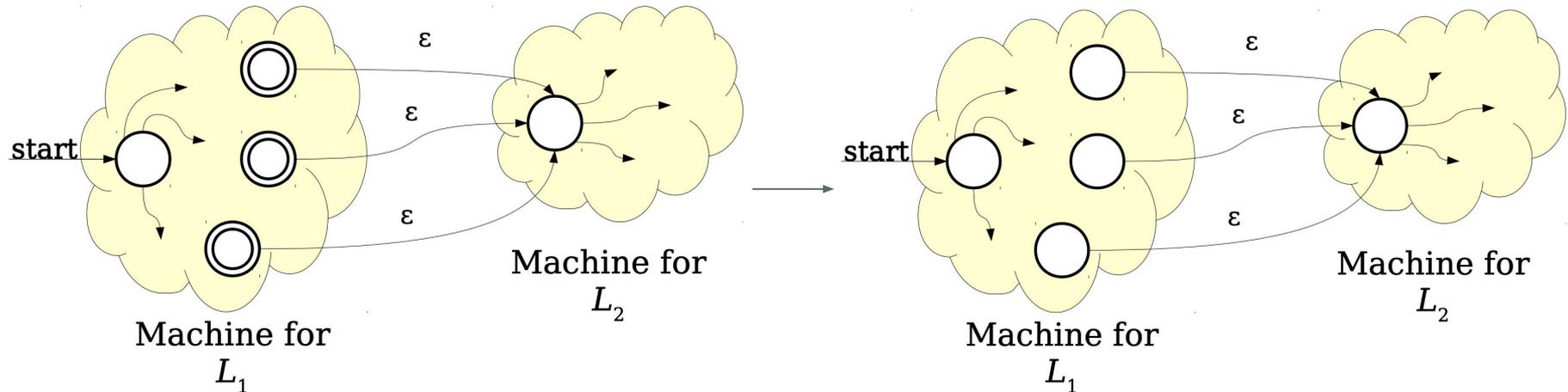
$$L^0 = \{\varepsilon\} \quad L^{n+1} = LL^n$$

# Concatenation

Proof by constructing the NFA for the new language

The **concatenation** of two languages  $L_1$  and  $L_2$  over the alphabet  $\Sigma$  is the language

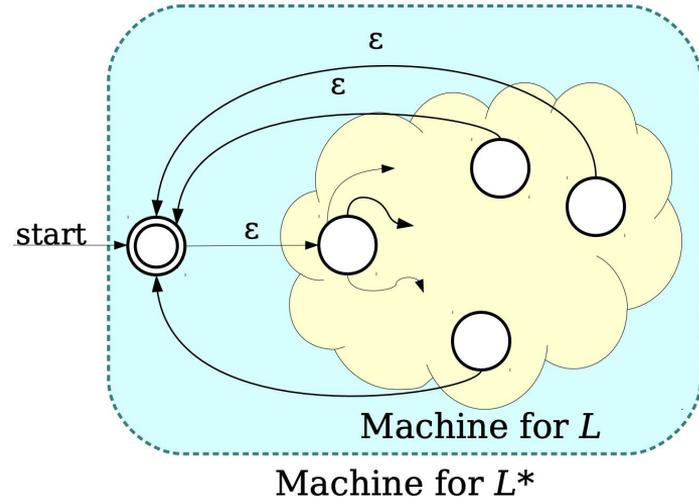
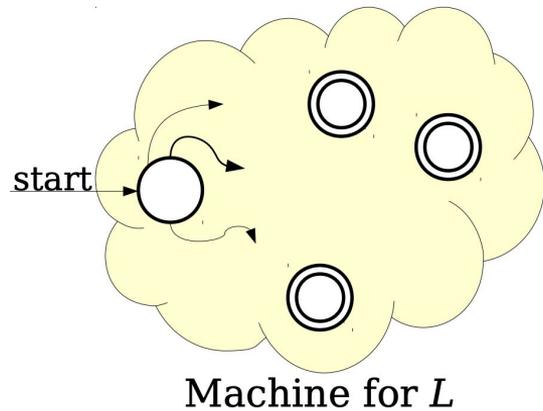
$$L_1L_2 = \{ wx \in \Sigma^* \mid w \in L_1 \wedge x \in L_2 \}$$



# The Kleene Star

Proof by constructing the NFA

$$L^* = \{ w \in \Sigma^* \mid \exists n \in \mathbb{N}. w \in L^n \}$$



# Regular Expressions - a new way to represent a language

$R_1R_2$  - concatenation

$R_1 \cup R_2$  - union ("or")

$R^*$  - Kleene closure (zero or more)

Operator Precedence:

$(R)$

$R^*$

$R_1R_2$

$R_1 \cup R_2$

Language of a Regex

$$\mathcal{L}(\epsilon) = \{\epsilon\}$$

$$\mathcal{L}(\emptyset) = \emptyset$$

$$\mathcal{L}(a) = \{a\}$$

$$\mathcal{L}(R_1R_2) = \mathcal{L}(R_1) \mathcal{L}(R_2)$$

$$\mathcal{L}(R_1 \cup R_2) = \mathcal{L}(R_1) \cup \mathcal{L}(R_2)$$

$$\mathcal{L}(R^*) = \mathcal{L}(R)^*$$

$$\mathcal{L}((R)) = \mathcal{L}(R)$$

# More Shorthand

$R^n$  is shorthand for  $RR \dots R$  ( $n$  times).

- Edge case: define  $R^0 = \epsilon$ .

$\Sigma$  is shorthand for “any character <sub>$i$</sub>  in  $\Sigma$ .”

$R?$  is shorthand for  $(R \cup \epsilon)$ , meaning “zero or one copies of  $R$ .”

$R^+$  is shorthand for  $RR^*$ , meaning “one or more copies of  $R$ .”